| SEC For | | | | | TE 0 (| | | | 、 F | VOLIA | | | ме | | | | | | |
|--|---|--|--|---|---|---|--------------|--|------------|---|--|-----------------------|--|---|--|--------------|--|---------------------------------------|--|
| FORM 4 | | | UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | | | | | | OMB APPROVAL | | | |
| Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). | | | | | ENT OF CHANGES IN BENEFICIAL OWNERSHIP | | | | | | | | | | OMB Number: 3235-0287 Estimated average burden hours per response: 0.5 | | | | |
| 1. Name and Address of Reporting Person [*] <u>HEC Sponsor LLC</u> | | | | | or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol Hudson Executive Investment Corp. [HEC] Director | | | | | | | | | g Perso X | | | | | |
| (Last) (First) (Middle) C/O HUDSON EXECUTIVE INVESTMENT CORP. | | | | ORP. | 3. Date of Earliest Transaction (Month/Day/Year) 06/08/2020 | | | | | | | | Officer (give title Other (specify below) below) | | | | | | |
| 570 LEXINGTON AVENUE, 35TH FLOOR (Street) NEW YORK NY 10022 | | | | mendment, Date of Original Filed (Month/Day/Year) | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person X Form filed by More than One Reporting Person | | | | | | | | |
| (City) | (5 | State) | (Zip) | | | | | | | | | | | | <i>ia by inc</i> | | | ing i creen | |
| | | 1 | Table I - Non | n-Deriv | ative S | Securitie | s A | cquired, I | Dis | posed o | of, or Be | enefic | ially | Owned | | | | | |
| 1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) | | | | | 2A. Deem Executior if any (Month/Da | Transaction Code (Instr. | | 4. Securities Acquired (A) o Disposed Of (D) (Instr. 3, 4 | | and 5) Securities Beneficially Owned Foll Reported | | y Ilowing | Form: | Direct II Indirect E str. 4) C | 7. Nature of ndirect Beneficial Dwnership Instr. 4) | | | | |
| | | | Table II - I | Deriva | ivo So | curities | Acc | | v end | Amount | (0) | P | rice | Transactio (Instr. 3 an | | | | | |
| | - | | (| | | alls, warı | rant | s, option | s, c | onverti | ble sec | uritie | s) | | | | | <u> </u> | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date if any (Month/Day/Yea | Cod | saction e (Instr. | 5. Number Derivative Securities Acquired or Dispos (D) (Instr. and 5) | (A) ed of | 6. Date Exe Expiration (Month/Day | Date | | 7. Title ar Securitie: Derivative (Instr. 3 a | s Under Secur | lying | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported | | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial Ownership (Instr. 4) | |
| | | | | Cod | e v | (A) | (D) | Date Exercisable | | xpiration ate | Title | Amou Numi Share | | Trans (Instr. | | ction(s) | | | |
| Class B Common Stock | (1) | 06/08/2020 | | J ⁽²⁾ | | 1,725,000 | | (1) | | (1) | Class A Common Stock | 1,72 | 5,000 | \$0.00 | 10,30 | 0,000 | D ⁽³⁾ | | |
| HEC Sponsor LLC (Last) (First) (Middle) C/O HUDSON EXECUTIVE INVESTMENT CORP. 570 LEXINGTON AVENUE, 35TH FLOOR (Street) NEW YORK NY 10022 (City) (State) (Zip) 1. Name and Address of Reporting Person* Bergeron Douglas (Last) (First) (Middle) | | | | | - | | | | | | | | | | | | | | |
| 570 LEX (Street) | KINGTON A | ECUTIVE INVE AVENUE, 35TH | I FLOOR | | | | | | | | | | | | | | | | |
| NEW YO | ORK | NY | 10022 | | | | | | | | | | | | | | | | |
| (City) | nd Address of | (State) | (Zip) | | | - | | | | | | | | | | | | | |
| | tein Doug | | | | | | | | | | | | | | | | | | |
| | | (First) ECUTIVE INVE AVENUE, 35TH | | | | | | | | | | | | | | | | | |
| (Street) NEW Y | ORK | NY | 10022 | | | | | | | | | | | | | | | | |
| (City) | | (State) | (Zip) | | | 1 | | | | | | | | | | | | | |

Explanation of Responses:

1. The shares of Class B common stock are convertible for shares of the Issuer's Class A common stock as described under the heading "Description of Securities" in the Issuer's Registration Statement on Form S-1 (File No. 333-238583) (the "Registration Statement") and have no expiration date. The shares of Class B common stock beneficially owned by the Reporting Persons include up to 1,350,000 shares of Class B common stock subject to forfeiture to the Issuer's initial public offering of units, as described in the Registration Statement.

2. On June 8, 2020, in connection with the increase in the size of its initial public offering, the Issuer effected a stock split of its Class B common Stock.

3. HEC Sponsor LLC is the record holder of the shares reported herein. Messrs. Bergeron and Braunstein are among the managers of HEC Sponsor LLC and share voting and investment discretion with respect to the common stock held of record by HEC Sponsor LLC. Each of the Messrs. Bergeron and Braunstein disclaims any beneficial ownership of the securities held by HEC Sponsor LLC other than to the extent of any pecuniary interest he may have therein, directly or indirectly.

Remarks:

See Exhibit 99.1 - Joint Filer Information, which is incorporated herein by reference and describes in further detail the relationships of the Reporting Persons to the Issuer.

| /s/ Roman Ibragimov, Attorney- in-Fact for HEC Sponsor LLC | <u>06/08/2020</u> |
|--|-------------------|
| <u>/s/ Roman Ibragimov, Attorney-</u> in-Fact for Douglas L. <u>Braunstein</u> | <u>06/08/2020</u> |
| <u>/s/ Roman Ibragimov, Attorney-</u> in-Fact for Douglas G. Bergeron | <u>06/08/2020</u> |
| | |

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

| Joint Filer Information | | | | | | | |
|---|---|--|--|--|--|--|--|
| Name of Joint Filer: | HEC Sponsor LLC | | | | | | |
| Address of Joint Filer: | c/o Hudson Executive Investment Corp. 570 Lexington Avenue, 35th Floor New York, New York 10022 | | | | | | |
| Relationship of Joint Filer to Issuer: | 10% Owner | | | | | | |
| Issuer Name and Ticker or Trading Symbol: | Hudson Executive Investment Corp. [HEC] | | | | | | |
| Date of Event Requiring Statement: (Month/Day/Year): | 06/08/2020 | | | | | | |
| | | | | | | | |
| Name of Joint Filer: | Douglas G. Bergeron | | | | | | |
| Address of Joint Filer: | c/o Hudson Executive Investment Corp. 570 Lexington Avenue, 35th Floor New York, New York 10022 | | | | | | |
| Relationship of Joint Filer to Issuer: | Chief Executive Officer and Director | | | | | | |
| Issuer Name and Ticker or Trading Symbol: | Hudson Executive Investment Corp. [HEC] | | | | | | |
| Date of Event Requiring Statement: (Month/Day/Year): | 06/08/2020 | | | | | | |
| | | | | | | | |
| | | | | | | | |
| Name of Joint Filer: | Douglas L. Braunstein | | | | | | |
| Address of Joint Filer: | c/o Hudson Executive Investment Corp. 570 Lexington Avenue, 35th Floor New York, New York 10022 | | | | | | |
| Relationship of Joint Filer to Issuer: | President, Chairman and Director | | | | | | |
| Issuer Name and Ticker or Trading Symbol: | Hudson Executive Investment Corp. [HEC] | | | | | | |
| Date of Event Requiring Statement: (Month/Day/Year): | 06/08/2020 | | | | | | |