# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

# FORM 8-K

CURRENT REPORT
PURSUANT TO SECTION 13 OR 15(d)
OF THE SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported): July 28, 2020

# **HUDSON EXECUTIVE INVESTMENT CORP.**

(Exact name of registrant as specified in its charter)

Delaware (State or other jurisdiction of incorporation) 001-39314 (Commission File Number) 84-4636604 (IRS Employer Identification No.)

570 Lexington Avenue, 35th Floor New York, New York 10022 (Address of principal executive offices, including zip code)

Registrant's telephone number, including area code: (212) 521-8495

Not Applicable

(Former name or former address, if changed since last report)

Securities registered pursuant to Section 12(b) of the Act:

Securities registered pursuant to Section 12(b) of the Act:		
Title of each class	Trading Symbol(s)	Name of each exchange on which registered
Units, each consisting of one share of Class A	HECCU	The Nasdaq Stock Market LLC
common stock and one-half of one redeemable		
warrant	IIECC	The Needer Cond Medical C
Class A common stock, par value \$0.0001 per share	HECC	The Nasdaq Stock Market LLC
Warrants, each whole warrant exercisable for one share of Class A common stock, each at an exercise price of \$11.50 per share	HECCW	The Nasdaq Stock Market LLC
Check the appropriate box below if the Form 8-K filing is in following provisions:	ntended to simultaneously satis	sfy the filing obligation of the registrant under any of the
$\square$ Written communications pursuant to Rule 425 under the Sec	curities Act (17 CFR 230.425)	
□ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)		
□ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))		
□ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))		
Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).		
Emerging growth company $\ oxtimes$		

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any

new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.  $\Box$ 

## Item 8.01. Other Events.

On July 28, 2020, Hudson Executive Investment Corp. (the "Company") issued a press release, a copy of which is attached as Exhibit 99.1 to this Current Report on Form 8-K, announcing that the holders of the Company's units (the "Units") may elect to separately trade the shares of Class A common stock, par value \$0.0001 per share (the "Class A Common Stock"), and warrants (the "Warrants") included in the Units commencing on or about July 30, 2020. Each Unit consists of one share of Class A Common Stock and one-half of one redeemable Warrant to purchase one share of Class A Common Stock. Any Units not separated will continue to trade on The Nasdaq Capital Market ("Nasdaq") under the symbol "HECCU", and the Class A Common Stock and Warrants will separately trade on Nasdaq under the symbols "HECC" and "HECCW", respectively. No fractional Warrants will be issued upon separation of the Units and only whole Warrants will trade. Holders of Units will need to have their brokers contact Continental Stock Transfer & Trust Company, the Company's transfer agent, in order to separate the Units into shares of Class A Common Stock and Warrants.

## Item 9.01 Financial Statements and Exhibits.

(d) Exhibits

## EXHIBIT INDEX

Exhibit No.	Description
99.1	Press Release, dated July 28, 2020.

# **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

# HUDSON EXECUTIVE INVESTMENT CORP.

By: /s/ Jonathan Dobres

Name: Jonathan Dobres
Title: Chief Financial Officer

Dated: July 28, 2020

# Hudson Executive Investment Corp. Announces the Separate Trading of its Class A Common Stock and Warrants, Commencing on or about July 30, 2020

NEW YORK, July 28, 2020 – Hudson Executive Investment Corp. (the "Company") announced today that holders of the units sold in the Company's initial public offering of 41,400,000 units completed on June 11, 2020 (the "offering") may elect to separately trade the shares of Class A common stock and warrants included in the units commencing on or about July 30, 2020. Any units not separated will continue to trade on The Nasdaq Capital Market ("Nasdaq") under the symbol "HECCU", and each of the shares of Class A common stock and warrants will separately trade on Nasdaq under the symbols "HECC" and "HECCW," respectively. No fractional warrants will be issued upon separation of the units and only whole warrants will trade. Holders of units will need to have their brokers contact Continental Stock Transfer & Trust Company, the Company's transfer agent, in order to separate the units into shares of Class A common stock and warrants.

# About Hudson Executive Investment Corp.

Hudson Executive Investment Corp. is a blank check company whose business purpose is to effect a merger, capital stock exchange, asset acquisition, stock purchase, reorganization or similar business combination with one or more businesses. While the Company may pursue an initial business combination in any industry, sector or geographic region, the Company intends to initially focus its search for an initial business combination in two broad industry sectors: financial services, with a focus on financial technology; and healthcare, including healthcare information technology, services and products. The Company was co-founded by Hudson Executive Capital LP, a value-oriented, event-driven investment firm with a focus on, and experience across, the financial services and healthcare sectors, Douglas L. Braunstein, its founder and managing partner, and Douglas G. Bergeron, its managing partner.

A registration statement relating to these securities was declared effective by the U.S. Securities and Exchange Commission (the "SEC") on June 8, 2020. This press release shall not constitute an offer to sell or the solicitation of an offer to buy, nor shall there be any sale of these securities in any state or jurisdiction in which such offer, solicitation or sale would be unlawful prior to registration or qualification under the securities laws of any such state or jurisdiction.

The offering was made only by means of a prospectus. Copies of the prospectus relating to the offering may be obtained from Citigroup Global Markets Inc., c/o Broadridge Financial Solutions, 1155 Long Island Avenue, Edgewood, NY 11717 or by telephone at 800-831-9146; and J.P. Morgan Securities LLC, c/o Broadridge Financial Solutions, 1155 Long Island Avenue, Edgewood, NY 11717, telephone: 866-803-9204, email: prospectuseq\_fi@jpmchase.com.

## Cautionary Note Concerning Forward-Looking Statements

This press release contains statements that constitute "forward-looking statements," including with respect to the Company's search for an initial business combination. Forward-looking statements are subject to numerous conditions, many of which are beyond the control of the Company, including those set forth in the Risk Factors section of the Company's registration statement for the initial public offering filed with the SEC. Copies are available on the SEC's website, www.sec.gov. The Company undertakes no obligation to update these statements for revisions or changes after the date of this release, except as required by law.

###

# **Investor Contact**

Hatcher Snead IR@hudsonexecutive.com 212-521-8495

# **Media Contact**

Gladstone Place Partners Steven Lipin/Max Dutcher 212-230-5930